
TITLE 68 INDIANA GAMING COMMISSION

Final Rule

LSA Document #11-248(F)

DIGEST

Adds [68 IAC 1-16-3.3](#) concerning the duty of casino licensees to prevent certain persons from winning certain promotional event prizes. Adds [68 IAC 2-3-9.4](#) concerning gaming by persons associated with a casino licensee. Adds [68 IAC 2-3-9.5](#) concerning gaming by persons associated with a supplier licensee. Adds [68 IAC 2-3-9.6](#) to make immediate family members of certain occupational licensees ineligible to win certain promotional event prizes. Repeals [68 IAC 9-4-5](#), [68 IAC 9-4-6](#), and [68 IAC 9-4-7](#). Effective 30 days after filing with the Publisher.

[68 IAC 1-16-3.3](#); [68 IAC 2-3-9.4](#); [68 IAC 2-3-9.5](#); [68 IAC 2-3-9.6](#); [68 IAC 9-4-5](#); [68 IAC 9-4-6](#); [68 IAC 9-4-7](#)

SECTION 1. [68 IAC 1-16-3.3](#) IS ADDED TO READ AS FOLLOWS:

[68 IAC 1-16-3.3](#) Immediate family members winning a promotional event prize

Authority: [IC 4-33-4](#); [IC 4-35-4](#)

Affected: [IC 4-33](#); [IC 4-35](#)

Sec. 3.3. (a) A casino licensee must take reasonable steps to prevent immediate family members of Level 1 and Level 2 occupational licensees from winning prizes in violation of [68 IAC 2-3-9.6](#).

(b) A casino licensee must not award any prize to an immediate family member of a Level 1 or Level 2 occupational licensee who wins a prize in violation of [68 IAC 2-3-9.6](#).

(c) A casino licensee must report to the commission any violation of [68 IAC 2-3-9.6](#) as soon as the casino licensee becomes aware of the violation.

(Indiana Gaming Commission; [68 IAC 1-16-3.3](#); filed Dec 20, 2011, 1:53 p.m.: [20120118-IR-068110248FRA](#))

SECTION 2. [68 IAC 2-3-9.4](#) IS ADDED TO READ AS FOLLOWS:

[68 IAC 2-3-9.4](#) Restrictions on gaming by persons associated with a casino licensee

Authority: [IC 4-33-4](#); [IC 4-35-4](#)

Affected: [IC 4-33](#); [IC 4-35](#)

Sec. 9.4. (a) For purposes of subsection (b), a person has an ownership interest if the person holds an ownership interest of:

- (1) one percent (1%) or more of a casino licensee that is publicly traded as defined in [68 IAC 5-1-1\(b\)\(2\)](#); or
- (2) any percentage in a casino licensee that is not publicly traded.

(b) No:

- (1) key person of;
- (2) person holding an ownership interest in;
- (3) employee of; or
- (4) occupational licensee associated with;

a casino licensee may participate in a game conducted by the casino licensee that is the subject of the person's association; purchase or redeem chips or tokens for any other person at a casino that is the subject of the person's association; or give another individual chips, tokens, cash, or cash equivalents to have the other individual place a wager on his or her behalf.

(Indiana Gaming Commission; [68 IAC 2-3-9.4](#); filed Dec 20, 2011, 1:53 p.m.: [20120118-IR-068110248FRA](#))

SECTION 3. [68 IAC 2-3-9.5](#) IS ADDED TO READ AS FOLLOWS:

[68 IAC 2-3-9.5](#) Restrictions on gaming by persons associated with a supplier licensee

Authority: [IC 4-33-4](#); [IC 4-35-4](#)

Affected: [IC 4-33](#); [IC 4-35](#)

Sec. 9.5. No occupational licensee employed by or associated with a supplier licensee may play any game at any casino.

(Indiana Gaming Commission; [68 IAC 2-3-9.5](#); filed Dec 20, 2011, 1:53 p.m.: [20120118-IR-068110248FRA](#))

SECTION 4. [68 IAC 2-3-9.6](#) IS ADDED TO READ AS FOLLOWS:

[68 IAC 2-3-9.6](#) Restrictions on promotional events for immediate family of occupational licensees

Authority: [IC 4-33-4](#); [IC 4-35-4](#)

Affected: [IC 4-33](#); [IC 4-35](#)

Sec. 9.6. (a) For purposes of this section, "promotion-related" means that a person is employed in one (1) of the following departments:

- (1) Information technology.**
- (2) Marketing.**
- (3) Promotions administration.**
- (4) Surveillance.**

(5) Any other department that the commission determines may affect the outcome of a promotional event.

(b) An immediate family member of a Level 1 or promotion-related Level 2 occupational licensee is not eligible to win a prize in a commission approved promotional event:

(1) at the casino where the Level 1 or promotion-related Level 2 occupational licensee is employed; and

(2) if the dollar value of the prize to be awarded is more than one thousand dollars (\$1,000); unless the promotional event is a bona fide contest of skill judged by persons not affiliated with the casino licensee.

(Indiana Gaming Commission; [68 IAC 2-3-9.6](#); filed Dec 20, 2011, 1:53 p.m.: [20120118-IR-068110248FRA](#))

SECTION 5. THE FOLLOWING ARE REPEALED: [68 IAC 9-4-5](#); [68 IAC 9-4-6](#); [68 IAC 9-4-7](#).

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Notice of Intent: [20110420-IR-068110248NIA](#)

Proposed Rule: [20110907-IR-068110248PRA](#)

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